



13 October 2020

**THE PHILIPPINE STOCK EXCHANGE, INC.**

6F Philippine Stock Exchange Tower  
5<sup>th</sup> Avenue corner 28<sup>th</sup> Street  
Bonifacio Global City, Taguig City

Attention: **Ms. Janet A. Encarnacion**  
Head, Disclosure Department

**PHILIPPINE DEALING & EXCHANGE CORP.**

29/F, BDO Equitable Tower,  
8751 Paseo de Roxas, Makati City

Attention: **Atty. Marie Rose M. Magallen-Lirio**  
Head – Issuer Compliance and Disclosure Department (ICDD)

Dear Ms. Encarnacion and Atty. Lirio:

Please find attached list of Top 100 Stockholders of East West Banking Corporation (EW) as of September 30, 2020.

Thank you.

Sincerely,

A handwritten signature in black ink, appearing to be "AV", with a long horizontal stroke extending to the right.

**Vincent A. Villanueva**  
Finance Officer

**> EAST WEST BANKING CORPORATION**

The Beaufort, 5<sup>th</sup> Avenue corner 23<sup>rd</sup> Street, Fort Bonifacio Global City, Taguig City  
Telephone number: 575-3888 | Email: [service@eastwestbanker.com](mailto:service@eastwestbanker.com) | [www.eastwestbanker.com](http://www.eastwestbanker.com)  
A member of the [FILINVEST](#) Group

Stock Transfer Service Inc.  
 EAST WEST BANKING CORPORATION  
 List of Top 100 Stockholders  
 As of 09/30/2020

Rank	Name	Holdings	Rank
1	FILINVEST DEVELOPMENT CORPORATION	900,136,017	40.01%
2	FILINVEST DEVELOPMENT CORPORATION FOREX	592,411,545	26.33%
3	PCD NOMINEE CORPORATION (FILIPINO	427,727,906	19.01%
4	FDC FOREX CORPORATION	244,170,519	10.85%
5	PCD NOMINEE CORPORATION (NON-FILIPINO)	63,299,649	02.81%
6	F. YAP SECURITIES, INC.	10,000,000	00.44%
7	F. YAP SECURITIES INC	4,005,600	00.18%
8	JONATHAN DEE CO	1,200,000	00.05%
9	BERIT HOLDINGS CORPORATION	1,048,410	00.05%
10	ALBARRACIN, TRINIDAD M. OR ALBARRACIN, MARIO M.	1,000,000	00.04%
11	ALFONSO S. TEH	750,000	00.03%
12	TEAM GLADIOLA, INC.	745,930	00.03%
13	ALFREDO B. CATAPANG OR CARMINA P. CATAPANG	530,000	00.02%
14	GERARDO SUSMERANO	480,000	00.02%
15	CARMINA P. CATAPANG &/OR ALFREDO B. CATAPANG	470,000	00.02%
16	MANUEL A. SANTIAGO &/OR ELLA C. SANTIAGO	330,600	00.01%
17	ANNA Y. SYCIP	301,875	00.01%
18	MIRIAM CHENG BONA ITF MARK JERICHO C. BONA	150,000	00.01%
19	JOSHUA CHENG	150,000	00.01%
20	QUIRINO CHEONG GOTAUCO	131,598	00.01%
21	IVY B. UY	112,500	00.01%
22	CATHERINE L TAN	90,000	00.00%
23	MIGUEL T TAN	90,000	00.00%
24	DENNIS GRANADA BAGUYO	61,000	00.00%
25	EDWIN U. LIM	60,750	00.00%
26	GURPREET SINGH GREWAL	60,750	00.00%
27	VICTORIA S. HERRERA	60,375	00.00%
28	JACQUELINE S. FERNANDEZ	59,455	00.00%
29	PONCIANO V. CRUZ, JR.	39,877	00.00%
30	GISELLE KAREN Y. GO	30,000	00.00%

31	LUCIO W. YAN &/OR CLARA Y. YAN	19,939	00.00%
32	RODOLFO G. MENDOZA &/OR ALBINA M. MENDOZA	17,469	00.00%
33	MARJORIE ANN LIM LEE OR PAULINE ANN LIM LEE	15,000	00.00%
34	EDWIN U. LIM OR GENEVIEVE LIM	15,000	00.00%
35	ERIC U. LIM OR CHRISTINE YAO LIM	15,000	00.00%
36	SIN HUA CHAN GAN	15,000	00.00%
37	WILSON L. SY	15,000	00.00%
38	MARICHU B. TENG	15,000	00.00%
39	JULIETA L. GO	12,219	00.00%
40	CHRISTIAN ESCUTERIO MENDEZ	11,400	00.00%
41	ROMEO B. MOLANO	10,446	00.00%
42	SYSMART CORP	9,969	00.00%
43	VICENTE R. RETUTA	8,850	00.00%
44	MARIA ERNA C. BAYATAN	6,150	00.00%
45	JOSELITO T BAYATAN	6,150	00.00%
46	MYRA P. VILLANUEVA	6,000	00.00%
47	JOSE ALEXIS P. AGUJETAS	5,000	00.00%
48	JAIME F. BORRES ITF JAMES RAFAEL DELA PASION BORRES	4,800	00.00%
49	ANNA LORAIN M. MENDOZA	4,500	00.00%
50	RAYMOND ALVIN M. MENDOZA	4,500	00.00%
51	WILLIAM CHUA N. CO KIONG	4,500	00.00%
52	YVETTE Q. CHUA	3,600	00.00%
53	ELEANOR Q. CHUA	3,600	00.00%
54	ESTHER GAW GAN	3,000	00.00%
55	LEE CHI CHIAO	3,000	00.00%
56	DEPAYNOS,CRESENCIA H &/OR TEDLER D.	3,000	00.00%
57	LAURO S AMANTE, JR.	2,700	00.00%
58	MA. LOURDES JOSEFINA L. GAMBOA	2,100	00.00%
59	KATHLEEN V. CEBALLO &/OR ESTRELLA V. CEBALLO	1,950	00.00%
60	ORLY B. ACOSTA &/OR VICTORIA A. MARTINEZ	1,950	00.00%
61	TRACY V. CEBALLO &/OR ESTRELLA V. CEBALLO	1,950	00.00%
62	ELINOR N. JAVIER	1,950	00.00%
63	JOSELITO L. BARRERA, JR.	1,950	00.00%

64	GHANDIE A. MARTINEZ	1,950	00.00%
65	TEO, STEPHEN T. AND/OR TERESITA R.	1,500	00.00%
66	MARGIE B. MERQUITA OR KEVIN MIKHAEL B. MERQUITA	1,500	00.00%
67	LEOPOLDO E. SAN BUENAVENTURA	1,500	00.00%
68	REMEDIOS TIU TAN	1,500	00.00%
69	NELSON J. HIPE	1,500	00.00%
70	OSCAR T. PUNZALAN JR.	1,500	00.00%
71	NADEZHDA ISKRA F. HERRERA	1,395	00.00%
72	LEOPOLDO E. SAN BUENAVENTURA ITF MARGHELYC M. SAN BUENAVENTURA	1,350	00.00%
73	LEOPOLDO E. SAN BUENAVENTURA ITF CHARMELYN M. SAN BUENAVENTURA	1,200	00.00%
74	CHINO DE LUNA LAYLO	900	00.00%
75	RUEL ALFONSO BRODETH CHENG &/OR VANESSA CRISTINA VIAJAR CHENG	750	00.00%
76	RIZAMARIE REYES ELUMBA	450	00.00%
77	STEPHEN G. SOLIVEN	250	00.00%
78	DONDI RON R. LIMGENCO	166	00.00%
79	DALLA MAMAUAG SIMANGAN	150	00.00%
80	ANGELICA DIANE B. MONTEZA	150	00.00%
81	CARMELITA G. DESPABILADERAS	150	00.00%
82	CARMELITA GALIENDES DESPABILADERAS	150	00.00%
83	GUILLERMO F. GILI, JR.	150	00.00%
84	ROBERTO L. SANTOS &/OR LYDIA F. SANTOS	100	00.00%
85	OWEN NATHANIEL S AU ITF LI MARCUS AU	15	00.00%
86	ANDREW L GOTIANUN SR	15	00.00%
87	JONATHAN T GOTIANUN	15	00.00%
88	ANTONIO C MONCUPA JR	15	00.00%
89	BENEDICTO M VALERIO JR	15	00.00%
90	PAUL A AQUINO	15	00.00%
91	LOURDES JOSEPHINE G YAP	15	00.00%
92	MERCEDES T. GOTIANUN	15	00.00%
93	CARLOS R ALINDADA	10	00.00%
94	JOSE MARIA G. HOFILENA	5	00.00%
95	GREGORIO U. KILAYKO	5	00.00%
96	ISABELLE THERESE G YAP	5	00.00%

97	NELSON M BONA	5	00.00%
98	JOSELITO T BAUTISTA	1	00.00%
99	ALFRED REITERE	1	00.00%

Total Top 100 Shareholders :	-----	-----
	2,249,975,411	100.00%
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Total Outstanding Shares	-----
	2,249,975,411
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## OUTSTANDING BALANCES FOR A SPECIFIC COMPANY

Company Code - EW0000000000

Business Date: September 30, 2020

BPNAME	HOLDINGS
UPCC SECURITIES CORP.	2,367,391
A & A SECURITIES, INC.	1,066,878
ABACUS SECURITIES CORPORATION	22,345,168
PHILSTOCKS FINANCIAL INC	2,296,701
A. T. DE CASTRO SECURITIES CORP.	469,547
ALPHA SECURITIES CORP.	278,582
BA SECURITIES, INC.	21,000
AP SECURITIES INCORPORATED	3,142,835
ANSALDO, GODINEZ & CO., INC.	861,067
AB CAPITAL SECURITIES, INC.	2,604,627
SB EQUITIES, INC.	4,793,207
ASIA PACIFIC CAPITAL EQUITIES & SECURITIES CORP.	66,016
ASIASEC EQUITIES, INC.	607,517
ASTRA SECURITIES CORPORATION	69,877
CHINA BANK SECURITIES CORPORATION	1,477,520
BELSON SECURITIES, INC.	896,666
BENJAMIN CO CA & CO., INC.	715,384
JAKA SECURITIES CORP.	214,445
BPI SECURITIES CORPORATION	12,348,342
CAMPOS, LANUZA & COMPANY, INC.	530,392
SINCERE SECURITIES CORPORATION	15,982
CENTURY SECURITIES CORPORATION	492,000
BDO NOMURA SECURITIES INC	2,557,188
CTS GLOBAL EQUITY GROUP, INC.	301,039
VSEC.COM, INC.	16,569
TRITON SECURITIES CORP.	3,220,877
IGC SECURITIES INC.	3,017,082
CUALOPING SECURITIES CORPORATION	79,317
DBP-DAIWA CAPITAL MARKETS PHILPPINES, INC.	136,596
DAVID GO SECURITIES CORP.	744,725
DIVERSIFIED SECURITIES, INC.	54,243
E. CHUA CHIACO SECURITIES, INC.	2,157,475
EAST WEST CAPITAL CORPORATION	29,962
EASTERN SECURITIES DEVELOPMENT CORPORATION	2,652,694
EQUITIWORLD SECURITIES, INC.	85,669
EVERGREEN STOCK BROKERAGE & SEC., INC.	3,149,220
FIRST ORIENT SECURITIES, INC.	155,420
FIRST INTEGRATED CAPITAL SECURITIES, INC.	51,640
F. YAP SECURITIES, INC.	12,668,613
AURORA SECURITIES, INC.	335,884
GLOBALINKS SECURITIES & STOCKS, INC.	440,118
JSG SECURITIES, INC.	1,289,190
GOLDSTAR SECURITIES, INC.	956,152
GUILD SECURITIES, INC.	409,260
HDI SECURITIES, INC.	2,012,869
H. E. BENNETT SECURITIES, INC.	256,895
I. ACKERMAN & CO., INC.	8,142
I. B. GIMENEZ SECURITIES, INC.	18,400
INVESTORS SECURITIES, INC,	754,803
IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	63,350
INTRA-INVEST SECURITIES, INC.	87,411
J.M. BARCELON & CO., INC.	113,204
VALUE QUEST SECURITIES CORPORATION	13,816,726

<b>BPNAME</b>	<b>HOLDINGS</b>
STRATEGIC EQUITIES CORP.	642,605
LARRGO SECURITIES CO., INC.	15,000
LITONJUA SECURITIES, INC.	243,094
LOPEZ, LOCSIN, LEDESMA & CO., INC.	133,810
LUCKY SECURITIES, INC.	121,003
LUYS SECURITIES COMPANY, INC.	575,619
MANDARIN SECURITIES CORPORATION	1,085,441
COL Financial Group, Inc.	36,640,135
DA MARKET SECURITIES, INC.	170,621
MERCANTILE SECURITIES CORP.	268,165
MERIDIAN SECURITIES, INC.	396,395
MDR SECURITIES, INC.	201,117
REGIS PARTNERS, INC.	11,199
MOUNT PEAK SECURITIES, INC.	7,424
NEW WORLD SECURITIES CO., INC.	178,732
OPTIMUM SECURITIES CORPORATION	418,323
RCBC SECURITIES, INC.	881,764
PAN ASIA SECURITIES CORP.	741,887
PAPA SECURITIES CORPORATION	5,702,060
MAYBANK ATR KIM ENG SECURITIES, INC.	2,377,625
PLATINUM SECURITIES, INC.	5,000
PNB SECURITIES, INC.	678,022
PREMIUM SECURITIES, INC.	300,789
SALISBURY BKT SECURITIES CORPORATION	57,541
QUALITY INVESTMENTS & SECURITIES CORPORATION	3,411,776
R & L INVESTMENTS, INC.	3,887
ALAKOR SECURITIES CORPORATION	51,437
R. COYIUTO SECURITIES, INC.	4,282,511
REGINA CAPITAL DEVELOPMENT CORPORATION	4,835,352
R. NUBLA SECURITIES, INC.	19,567,678
AAA SOUTHEAST EQUITIES, INCORPORATED	160,210
R. S. LIM & CO., INC.	1,335,163
RTG & COMPANY, INC.	168,486
S.J. ROXAS & CO., INC.	245,777
SECURITIES SPECIALISTS, INC.	370,079
FIDELITY SECURITIES, INC.	84,116
SUMMIT SECURITIES, INC.	2,289,427
STANDARD SECURITIES CORPORATION	1,417,822
SUPREME STOCKBROKERS, INC	70,500
TANSENGCO & CO., INC.	394,389
THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP.	852,955
TOWER SECURITIES, INC.	5,502,609
APEX PHILIPPINES EQUITIES CORPORATION	1,500
TRI-STATE SECURITIES, INC.	87,493
UCPB SECURITIES, INC.	868,988
VENTURE SECURITIES, INC.	50,500
FIRST METRO SECURITIES BROKERAGE CORP.	9,746,917
WEALTH SECURITIES, INC.	37,113,687
WESTLINK GLOBAL EQUITIES, INC.	90,599
BERNAD SECURITIES, INC.	601,817
WONG SECURITIES CORPORATION	59,240
YAO & ZIALCITA, INC.	2,712,300
YU & COMPANY, INC.	2,292,419
BDO SECURITIES CORPORATION	14,408,729
EAGLE EQUITIES, INC.	3,696,910
GOLDEN TOWER SECURITIES & HOLDINGS, INC.	463,919
SOLAR SECURITIES, INC.	6,318,382
G.D. TAN & COMPANY, INC.	684,835
CLSA PHILIPPINES, INC.	12,935,100

<b>BPNAME</b>	<b>HOLDINGS</b>
PHILIPPINE EQUITY PARTNERS, INC.	2,193,997
UNICAPITAL SECURITIES INC.	5,484,910
SunSecurities, Inc.	880,320
COHERCO SECURITIES, INC.	150,000
ARMSTRONG SECURITIES, INC.	16,750
KING'S POWER SECURITIES, INC.	544,353
TIMSON SECURITIES, INC.	3,527,600
STAR ALLIANCE SECURITIES CORP.	50,000
VC SECURITIES CORPORATION	7,600
CITIBANK N.A.	21,964,464
UNITED COCONUT PLANTERS BANK-TRUST BANKING	149,850
BANK OF COMMERCE - TRUST SERVICES GROUP	569,450
RCBC TRUST & INVESTMENT DIVISION - VARIOUS TAXABLE ACCTS	21,933
DEUTSCHE BANK MANILA-CLIENTS A/C	22,932,917
STANDARD CHARTERED BANK	54,847,929
THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT.	14,478,149
UNITED COCONUT PLANTERS LIFE ASSURANCE CORPORATION	637,500
MBTC - TRUST BANKING GROUP	222,768
AB CAPITAL & INVESTMENT CORP. - TRUST & INVESTMENT DIV.	230,500
DEUTSCHE BANK MANILA-CLIENTS A/C	3
THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT.	1,075,850
UCPB GENERAL INSURANCE CO., INC.	267,021
EASTWEST BANKING CORPORATION - TRUST DIVISION	62,420,893
EAST WEST BANKING CORP.	41
<b>TOTAL</b>	<b>491,027,555</b>

If no written notice of any error or correction is received by PDTC within five (5) calendar days from receipt hereof, you shall be deemed to have accepted the accuracy and completeness of the details indicated in this report.